

APARTMENT & CONDO PRESCRIPTIVE INCENTIVE APPLICATION



focus on energySM
The power is within you.

OFFICE USE ONLY

Project ID: _____

Focus on Energy works with eligible Wisconsin residents and businesses to install cost effective energy efficient and renewable energy technologies. Focus information, resources and financial incentives help to implement projects that otherwise would not get completed, or to complete projects sooner than scheduled. Its efforts help Wisconsin residents and businesses manage rising energy costs, promote in-state economic development, protect our environment and control the state's growing demand for electricity and natural gas.

Section 1: CUSTOMER INFORMATION AND WHERE EQUIPMENT WAS INSTALLED

Property Name:	Property Contact Name:	Property Contact: Phone: (____) _____ Fax: (____) _____
Installation Street Address (physical location):	City:	State: Zip:
ENERGY ADVISOR:	Electric Utility Provider (required):	Electric Utility Acct #:
	Natural Gas Utility Provider (required):	Natural Gas Utility Acct #:

Section 2: BUILDING TYPE: 4 units or greater (check all that apply)

Apartment Condominium Mixed use (commercial and residential)
_____ # Units

Please use **ONE** copy of this form per building or an additional spreadsheet that clearly indicates the property name, building, and technology.

Section 3: PAYMENT INFORMATION (all information is required for payment)

Business Classification (check ONE): Corporation Partnership Sole Proprietorship-Individual LLC

Make Incentive Check Payable to: (check ONE) Corporate Name Business Owner's Legal Name
 Ally/Service Provider

Legal Name (as shown on federal tax form): _____ Attn: _____

Mailing Address of Legal Name: _____ City: _____ State: _____ Zip: _____

Federal Tax ID of Payee: (must be 9 digits-do not list tax exempt number)
Fed ID #: _____ - _____ - _____

Social Security number of Business Owner (if no Federal Tax ID #):
SSN: _____ - _____ - _____

Certification: The following certifications are required in order for this form to substitute for the IRS form W-9:

1. The number shown on this form is the correct taxpayer identification number.
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the IRS that I am subject to backup withholding as a result of a failure to report all interest or dividends or (c) the IRS has notified me that I am no longer subject to backup withholding.
3. I am a U.S. citizen (includes a U.S. resident alien).

Section 4: CUSTOMER SIGNATURE

The undersigned agrees that the stated energy efficient measure(s) was (were) installed at the address listed above as part of the Focus on Energy Program. I have read and agree to the Terms & Conditions listed on the back of this application. To the best of my knowledge, the statements made on this application are correct, and I have submitted the appropriate supporting documentation to receive an incentive.

Company Representative (Print): _____ Signature: _____ Date: _____

INSTRUCTIONS FOR COMPLETING THIS FORM

- Purchase and install qualifying technologies and receive an energy efficiency incentive of up to **\$4,000** per project. Incentives exceeding \$4,000 must receive written approval **BEFORE** installation.
- Incomplete applications and/or invoices will significantly delay processing of incentives.
- **The specifications for eligible equipment are listed within each technology section on this form.** If the technology you are installing is specified differently than how it is listed on this form, please call to verify eligibility. Custom incentives are available for many technologies not listed. Some technologies require additional information that should be filled in under "Required Information" column.
- **The final price paid for new equipment must be greater than the incentive. New equipment purchased for less than the incentive amount will not receive the full incentive amount.**

FORM SUBMITTAL: Return signed, completed form, and ITEMIZED invoice (with manufacturer and model number for each piece of equipment) within 30 days of installation to Focus on Energy, Apartment & Condo Incentives, 431 Charmany Dr., Madison, WI 53719. Direct inquiries to **800.762.7077** ask for the Apartment & Condo Program. Learn more about additional incentives at focusonenergy.com/multifamilyprograms.

APARTMENT & CONDO PRESCRIPTIVE INCENTIVE APPLICATION



Property Name: _____ Installation Address: _____

PRESCRIPTIVE TECHNOLOGIES (please fill in blanks for all items for which you are requesting an incentive)

New Equipment		Requirements and Required Information	Incentive	Qty.	Total
AIR CONDITIONING and AIR COOLING SYSTEMS	Thru Wall Air Conditioner	ENERGY STAR® qualified	\$25/unit		
	Window Air Conditioner				
	Split System A/C < 65 MBH 14 SEER (5.42 tons) AHRI Ref #: _____	_____ MBH input	\$100		
	Split System A/C < 65 MBH 15 SEER (5.42 tons) AHRI Ref #: _____	_____ MBH input	\$150		
	Split System A/C < 65 MBH 16+ SEER (5.42 tons) AHRI Ref #: _____	_____ MBH input	\$200		
	Rooftop/Unitary A/C < 65 MBH ≥ 14.0 SEER or ≥ 11.6 EER AHRI Ref #: _____	_____ tons _____ EER	\$60/ton		
	Bonus: \$2/ton/0.1 EER over 11.6 EER OR \$2.27/ton/0.1 over 14.0 SEER	_____ EER over 11.6	\$2/ton/0.1*		
		_____ SEER over 14.0	\$2.27/ton/0.1*		
	Rooftop/Unitary/Split System A/C 65 – 239 MBH ≥ 11.5 EER AHRI Ref #: _____	_____ tons _____ EER	\$60/ton		
	Bonus for each 0.1 EER over 11.5 EER	_____ EER	\$2/ton/0.1 *		
	Rooftop/Unitary/Split System A/C 240 – 759 MBH ≥ 10.5 EER AHRI Ref #: _____	_____ tons _____ EER	\$60/ton		
	Bonus for each 0.1 EER over 10.5 EER	_____ EER	\$2/ton/0.1 *		
	PTAC (Packaged Terminal A/C) < 8,000 BTU/hr; ≥ 12.1 EER 8,000–9,999 BTU/hr; ≥ 11.5 EER 10,000–12,999 BTU/hr; ≥ 10.9 EER ≥ 13,000 BTU/hr; ≥ 9.8 EER	_____ BTU/hr _____ EER	\$50		

INSTRUCTIONS AND SPECIFICATIONS:

All equipment must be new and installed prior to submitting an incentive application form. Applications must be submitted within 30 days of equipment installation. Equipment model numbers are requested. This information is often found on warranty documentation, the invoice and/or on the equipment nameplate.

Thru Wall and Window Air Conditioners: Requires Full Compliance - all rental units in the building must have this measure implemented.

Split System Air Conditioner:

- The condenser model and serial number, evaporator model and serial number and AHRI (Air Conditioning, Heating and Refrigeration Institute) reference number are required for installations.
- System efficiency is based solely on the evaporator and condenser coils; the SEER may not be increased by factoring in the efficiency of a variable speed forced air heating system fan, except where a two-stage air conditioner is installed.
- All efficiency ratings will be verified using the AHRI database (ahridirectory.org).
- Refrigerant line diameters must meet manufacturer's specifications.
- Mini-split / ductless systems and air-source heat pumps that meet these efficiencies also qualify.

Rooftop/Unitary Air Conditioner: Equipment must meet the nominal efficiency level based on the size category (1 ton = 12 MBH). Bonus incentives are granted for equipment exceeding the minimum required efficiency. All efficiency ratings will be verified using the AHRI database (ahridirectory.org).

* **EER or SEER Bonus Calculation:** if actual EER = 12 and tons = 3; 12 – 11.6 = 4 tenths x \$2.00 x 3 tons = \$24.00 total bonus OR

if actual SEER = 15.0 and tons = 5; 15 – 14 = 10 tenths x \$2.27 x 5 tons = \$113.50 total bonus

PTAC (Packaged Terminal Air Conditioner): All efficiency ratings will be verified using the AHRI database (Air Conditioning, Heating and Refrigeration Institute: www.ahridirectory.org). Window air conditioners are not eligible.

Itemized Invoice Attached: <input type="checkbox"/> YES	Installation Date: _____	SUBTOTAL REQUESTED (all line items this page):	\$
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New Equipment		Requirements and Required Information	Incentive	Qty.	Total
HEATING	Modulating Hot Water Boiler ≥ 90% with I/O Reset ≤ 300 MBH	Size _____ MBH Age of Old Unit _____ Model of Old Unit _____	\$400		
	Gas Furnace ≥ 90% w/Variable Speed Motor (ECM) and multiple stages of heating	Age of Old Unit _____ Model of Old Unit _____	\$150		
	Ground Source/Geothermal Heat Pump	< 65 MBH	\$250		
HEATING and COOLING	PTHP (Packaged Terminal Heat Pump) < 8,000 BTU/hr; ≥ 12.1 EER and ≥ 3.4COP 8,000–9,999 BTU/hr; ≥ 11.5 EER and ≥ 3.2COP 10,000–12,999 BTU/hr; ≥ 10.9 EER and ≥ 3.1COP ≥ 13,000 BTU/hr; ≥ 9.8 EER and ≥ 3.1COP	_____ BTU/hr _____ EER _____ COP	\$75		
	Energy Recovery Ventilator	ARI Certified or pre-approved equipment	\$1.25/cfm		

INSTRUCTIONS AND SPECIFICATIONS

All equipment must be new and installed prior to submitting an incentive application form. Applications must be submitted within 30 days of equipment installation. Equipment serial numbers are required. This information is often found on warranty documentation and/or on the equipment nameplate.

Modulating Hot Water Boiler and Gas Furnace:

- Equipment must be ≥ 90% AFUE and have a sealed combustion unit. Boilers must modulate their firing rate. Furnaces must automatically vary output by using a variable speed (not two-speed or multi-speed) blower (ECM / brushless DC motor) and have at least two firing stages. Air handlers are not eligible.
- Boiler and furnace incentives are only available for equipment, used in space heating applications.
- This incentive is only available for pre-qualified models: for a list of pre-qualified equipment visit www.focusonenergy.com/Incentives/Business/
- Chimney liners must be installed where a high efficiency natural gas furnace replaces an atmospherically drafted furnace and only a water heater remains vented through the chimney.

Ground Source/Geothermal Heat Pump:

Qualified system must:

- Be ≥ 15 EER
- Have a minimum COP 3.3 for a closed loop system or COP 3.6 for an open loop system.
- Air handler must have an ECM.
- Heat pump must have at least 2 stages of heat output.
- Electric heat can not be used for auxiliary or emergency heat.

PTHP (Packaged Terminal Heat Pump):

Must meet both heating and cooling specifications. All efficiency ratings will be verified using the AHRI database (ahridirectory.org).

Energy Recovery Ventilator:

- Units replacing existing energy recovery ventilators do not qualify.
- Please provide efficiency of the building's cooling and heating systems. This information will not affect ERV incentive.
- Air-to-air energy recovery ventilators must be third-party tested and qualified by one of two methods.
 - Equipment must be ARI certified to standard 1060-2005 and bear the ARI certification symbol for ARI air-to-air energy recovery ventilation equipment certification program based on ARI 106. The Web site listing ARI certified air-to-air recovery ventilators is www.ahridirectory.org/ahriDirector/pages/erv/defaultSearch.aspx
 - Alternatively, qualifying equipment independently tested and reported per ASHRAE standard 84-1991 will be accepted. A list of non-ARI certified but ASHRAE pre-qualified equipment is available at www.focusonenergy.com/Incentives/Business/. If you plan to install a model that is not on the pre-qualified list, please submit an Equipment Qualification Form (also found on the Web site) and have the equipment added to the list before beginning the project to verify that the model meets program criteria.
- Area served by Energy Recovery Ventilators must be air conditioned during the periods of June through August, 1:00 – 4:00 pm and heated during the winter. Replacement of existing ERV and use of ERV where required by state code does NOT qualify.

Itemized Invoice Attached: <input type="checkbox"/> YES	Installation Date: _____	SUBTOTAL REQUESTED (all line items this page):	\$
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New Equipment		Requirements and Required Information	Incentive	Qty.	Total
CONTROLS	Boiler Control Outside Air Reset / Cutout Controls	Installed on an Existing Boiler or System Less than 300 MBH	\$75		
	Boiler Control Outside Air Reset / Cutout Controls With Staging	Installed on an Existing Boiler or System Less than 300 MBH	\$150		
	Guest Room Energy Management (GREM)	PTAC or Electric Heat	\$75/PTAC		
	Vending Energy Control System	Cold Beverage	\$60		
	Vending Energy Control System	Snack	\$15		
	Variable Frequency Drives (VFD)	Minimum 2,000 hrs operation and VFD must be automatically controlled by differential pressure, temperature, flow or other variable signals	\$50/HP Bonus: \$10/HP with pre & post kW readings		
WATER HEATING INDIVIDUAL	Natural Gas – Small – Power Vented 0.64 to 0.79 EF	Brand Name: _____ Model#: _____ Serial#: _____	\$50/Unit		
	Natural Gas – Small – Power Vented Tank or Tankless ≥ .80 EF	Brand Name: _____ Model#: _____ Serial#: _____	\$100/Unit		
	Natural Gas – Condensing ≥ 90% Thermal Efficiency	Brand Name: _____ Model#: _____ Serial#: _____	\$150/Unit		
	Natural Gas – Indirect with Boiler Modulating with I/O Reset 90% or greater	Brand Name: _____ Model#: _____ Serial#: _____	\$100/Unit		
	Electric Tank Type Boiler must be ≥ 0.93 EF (see note below)	Brand Name: _____ Model#: _____ Serial#: _____	\$50/Unit		

INSTRUCTIONS AND SPECIFICATIONS:
 All equipment must be new and installed prior to submitting an incentive application form. Applications must be submitted within 30 days of equipment installation. Equipment serial numbers are required. This information is often found on warranty documentation and/or on the equipment nameplate.

Boiler Controls:

1. Outside air temperature boiler rest or cutout control incentive is intended for retrofit projects. New boilers equipped **with** these controls are **not** eligible. New boilers **not** equipped with these controls **are** eligible for retrofit.
2. System must have an outdoor air temperature sensor in a shaded location on the north side of the building.
3. System must be set so that minimum temperature is < 10°F above boiler manufacturer's recommended minimum return temperature (unless unusual circumstances require a higher setting).
4. For controls on multiple boilers to qualify, control strategy must stage the lag boiler(s) only after the first boiler stages(s) fail to maintain the boiler water temperature called for by the reset control.

Electric Tank Type Water Heater:
 Available only if natural gas is unavailable at the street through a participating utility.

Itemized Invoice Attached: <input type="checkbox"/> YES	Installation Date: _____	SUBTOTAL REQUESTED (all line items this page):	\$
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PRESCRIPTIVE TECHNOLOGIES (please fill in blanks for all items for which you are requesting an incentive)

<i>New Equipment</i>		<i>Requirements and Required Information</i>	<i>Incentive</i>	<i>Qty.</i>	<i>Total</i>
APPLIANCES	Clothes Washer – In Unit	ENERGY STAR qualified	\$25/Unit		
	Clothes Washer – Common Area	ENERGY STAR qualified Existing Water Heater Type: <input type="checkbox"/> Electric <input type="checkbox"/> Gas	\$50/Unit		
	Dehumidifier	ENERGY STAR qualified	\$25/Unit		
	Dishwasher				
	Freezer				
	Refrigerator				

INSTRUCTIONS AND SPECIFICATIONS:

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Appliances:

- Requires Full Compliance** – all rental units in the building must have this measure implemented. The incentive is only available if qualified appliances are ENERGY STAR.
- Clothes Washers Common Area may be purchased or leased (5 year lease agreement required).

Itemized Invoice Attached: <input type="checkbox"/> YES	Installation Date: _____	SUBTOTAL REQUESTED (all line items this page):	\$
TOTAL INCENTIVE (all line items):			\$

Focus on Energy offers incentives for:

- Custom Measures
- Lighting Measures
- Service Buy-down for Boiler Tune-ups and Steam Traps

If your project does not fit the prescriptive descriptions on this form it may qualify for custom incentives with prior approval from Focus on Energy. Please contact an Energy Advisor for further information at: 800.762.7077 and ask for Apartment & Condo Efficiency Services Program or learn more at focusonenergy.com

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ACES-0709

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UTILITY ELIGIBILITY

Customers are eligible for electric-saving measures if they purchase electricity from one of the utilities on the participating electric utility list. Customers are eligible for gas-saving measures if they purchase natural gas from one of the utilities on the participating natural gas utility list. Customers who have both a participating electric and a participating gas utility will qualify for all technology incentives. Check the Focus on Energy Web site at www.focusonenergy.com to verify eligibility.

Electric customers of the following utilities are eligible:

Adams-Columbia Electric Coop	Eagle River Light & Water	New Glarus Light & Water	Scenic Rivers Energy Cooperative
Algoma Utilities	Eau Claire Energy Cooperative	New Holstein Utilities	Shawano Municipal Utilities
Alliant Energy	Elkhorn Light & Water	New Lisbon Muni Light & Water	Shullsburg Electric Utility
Arcadia Electric Utility	Elroy Electric & Water Utility	New London Utilities	Slinger Utilities
Argyle Electric & Water Utility	Evansville Water & Light	New Richmond Utilities	Spooner Municipal Electric Utility
Bangor Municipal Utility	Fennimore Municipal Utility	North Central Power Co. Inc.	Stoughton Utilities
Barron Light & Water	Florence Utilities	Northwestern Wisconsin Electric	Stratford Water & Electric Depart.
Belmont Municipal Light & Water	Gresham Water & Electric Pl.	Oakdale Electric Coop	Sturgeon Bay Utilities
Benton Electric & Water Utility	Hartford Electric	Oconomowoc Utilities	Sun Prairie Water & Light
Black River Falls Municipal Util.	Hustisford Utilities	Oconto Electric Cooperative	Superior Water, Light & Power
Bloomer Electric & Water Utility	Jefferson Utilities	Oconto Falls Municipal Utilities	Two Rivers Water & Light
Boscobel Utilities	Juneau Utilities	Pardeeville Public Utilities	Vernon Electric Coop
Brodhead Water & Light	Kaukauna Utilities	Pierce Pepin Coop Services	Viola Municipal Electric Utility
Cadott Light & Water Department	Kiel Utilities	Pioneer Power & Light Co.	Waterloo Utilities
Cashton Light & Water	La Farge Municipal Utilities	Plymouth Utilities	Waunakee Utilities
Cedarburg Light & Water	Lake Mills Light & Water	Prairie du Sac Utilities	Waupun Utilities
Centuria Municipal Electric Utility	Lodi Utilities	Price Electric Cooperative	We Energies
Clark Electric Cooperative	Madison Gas & Electric	Princeton Light & Water Dept	Westby Utilities
Clintonville Utilities	Manitowoc Public Utilities	Reedsburg Utility Commission	Westfield Electric Company
Columbus Water & Light	Marshfield Utilities	Rice Lake Utilities	Whitehall Electric Utility
Consolidated Water Power Co.	Mazomanie Electric Utility	Richland Center City Utilities of	Wisconsin Dells Water & Light Utility
Cornell Municipal Light Depart.	Medford Electric Utility	Richland Electric Cooperative	Wisconsin Public Service Corp.
Cuba City Light & Water	Menasha Utilities	River Falls Municipal Utilities	Wisconsin Rapids Water Works & Light
Cumberland Municipal Utility	Mount Horeb Utilities	Rock Energy Cooperative	Wonewoc Water & Light Department
Dahlberg Light & Power Co.	Muscoda Utilities	Sauk City Utilities	Xcel Energy

Natural Gas customers of the following utilities are eligible:

Alliant Energy	Midwest Natural Gas, Inc.	Superior Water, Light & Power	Wisconsin Public Service
City Gas Company	St Croix Valley Natural Gas	We Energies	Xcel Energy
Madison Gas & Electric			

TERMS & CONDITIONS:

- Incentive Offer:** This application covers products purchased and installed between January 1, 2009 and March 31, 2010. Forms must be submitted within 30 calendar days of project installation and no later than April 30, 2010. Please keep a copy for your records.
- Proof of Purchase and Itemized Invoice:** This application must have complete information and be submitted with an invoice itemizing the **new equipment** purchased. The invoice must indicate date of purchase, the size, type, make, model, serial number, part number and/or equipment manufacturer (OEM) specification sheets.
- Compliance:**
 - ✓ All projects are expected to comply with federal, state and local codes.
 - ✓ All equipment must be new (with the exception of surveys, audits and service buy downs). Used or rebuilt equipment is not eligible for incentives
 - ✓ Equipment must meet specification requirements and be purchased and operating prior to submitting an incentive application form.
 - ✓ Only one incentive will be granted for each project. Incentives granted to contractors, distributors or other market providers cannot also be claimed by end-use customers. Incentives paid to We Energies customers will be paid directly to the end-use customer when incentives are offered by both Focus on Energy and We Energies.
- Payment:** Once completed paperwork is submitted, incentive payments are usually made within 30 calendar days. Incomplete applications will either delay payments or be denied.
- Inspection:** Program staff may conduct an inspection of the facility to survey the installed projects.
- Publicity:** Focus on Energy reserves the right to publicize your participation in this program, unless you specifically request otherwise.
- Program Discretion:** Incentives are available on a first-come, first-served basis. This offer is subject to change or termination without notice at the discretion of the Focus on Energy Program.
- Focus Logo:** Customers or allies may not use the Focus on Energy name or logo in any marketing, advertising or promotional materials without prior written permission.
- Disclaimers:** Focus on Energy:
 - ✓ does not endorse any particular manufacturer, product, labor or system design by offering these program;
 - ✓ will not be responsible for any tax liability imposed on the customer as a result of the payment of incentives;
 - ✓ does not expressly or implicitly warrant the performance of installed equipment or contractor's quality of work (contact your contractor for detailed warranties);
 - ✓ is not responsible for the proper disposal/recycling of any waste generated as a result of this project; and
 - ✓ is not liable for any damage caused by the installation of the equipment or for any damage caused by the malfunction of the installed equipment.

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